

## **CHARACTERIZATION OF OPTICAL PREFORMS**

### **BACKGROUND OF THE INVENTION**

#### **1. Field of the Invention**

The present invention relates generally to the manufacture of optical preforms, and particularly to manufacturing optical preforms in accordance with specified refractive index characteristics.

#### **2. Technical Background**

There is a direct correlation between the linewidth of semiconductor devices and the resolution of the photolithographic systems that are used to produce such devices. Typically, a photolithographic system includes a projection optical system that employs lenses to project an image of a circuit onto a semiconductor substrate. One of the key parameters of these lenses is the homogeneity of the refractive index of the lens element. As such, customers often specify the refractive index homogeneity of the optical preform that will be used to make a particular lens. During manufacture, the optical preform is extracted from a larger optical member known as a boule.

In one approach, the standard boule test (SBT) is performed to evaluate the refractive index properties of a fused silica boule. In this method, the boule under test must be made transparent to the interferometer laser that is used to measure the boule's index of refraction variation. The oil-on-flats (OOF) method is one way of providing transparency. In this method, the boule is sandwiched between two polished flats, and index-matching fluid is disposed in the boule-flat interface. Another way of performing the SBT is to use the polished homogeneity method or the Schwider method. Unfortunately, the SBT has significant drawbacks.

Using the Cartesian coordinate system as a reference, each boule has a three-dimensional refractive index distribution  $n(x, y, z)$ . The SBT provides index variations only in the x-y plane, e.g., the SBT provides a two-dimensional refractive index distribution  $n(x, y)$ . The x, y values provided by the two-dimensional SBT map represent an averaging throughout the thickness (z-axis) of the boule. However,

averaging along the z-axis is inappropriate, since lens manufacturers typically manufacture their devices from an optical preform cut from a boule. Further, the lenses typically have a high numerical aperture. As a result, device manufacturers are unable to predict with sufficient accuracy the performance of the device being fabricated using the information provided by the SBT. Furthermore, manufacturers cannot determine which way the preform should be orientated during the cutting of the lens to achieve optimum performance.

What is needed is a way to provide a conclusive three-dimensional map of the refractive-index distribution of an optical preform or boule. A three-dimensional map of the refractive-index distribution would allow device manufacturers to better predict the performance of the optical device. A three-dimensional map of the refractive-index distribution would also allow device manufacturers to determine the best orientation of the preform during device extraction. For example, if a lens is to be cut out in a meniscus, plano-convex, or plano-concave shape, knowledge of the three-dimensional refractive index variation would enable the lens maker to orient the preform such that the preform portions having the highest inhomogeneity are cut away.

#### **SUMMARY OF THE INVENTION**

The present invention provides a three-dimensional map of the refractive-index distribution of an optical preform or boule. The three-dimensional map of the refractive-index distribution in accordance with the present invention allows device manufacturers to better predict the performance of the optical device. The three-dimensional map in accordance with the present invention also allows device manufacturers to determine the best orientation of the preform during device extraction.

One aspect of the present invention is a computer-readable medium having a data structure stored thereon. The data structure includes data representing a characteristic of an optical member. The data structure includes at least one field containing information corresponding to a three-dimensional map of the optical member. The map includes a plurality of refractive index measurements taken at a plurality of interior locations within the optical member.

In another aspect, the present invention includes a computer-readable medium having computer-executable instructions for performing a method for characterizing an

optical member. The method includes the step of providing information corresponding to a plurality of refractive index measurements taken at a plurality of interior locations within the optical member. The information is converted into a three-dimensional map of the optical member. The three-dimensional map includes a plurality of refractive index values distributed throughout the interior of the optical member.

In another aspect, the present invention includes a method for making an optical device having specified refractive-index characteristics. The optical device is derived from a boule that is dimensionally characterized by a radial axis and an axis normal to the radial axis. The method includes extracting a radial strip from the boule. The strip has a cross-sectional area in a plane formed by the radial axis and the axis normal to the radial axis. A plurality of refractive index measurements are taken of the strip at a plurality of locations in the cross-sectional area. The plurality of refractive index measurements are converted into a three-dimensional map of the boule. The three-dimensional map includes a plurality of calculated refractive index values distributed throughout the interior of the boule. An optical blank is extracted from the boule. The optical blank is taken from a portion of the boule having calculated refractive index values that substantially match the specified refractive-index characteristics.

In another aspect, the present invention includes a method for processing a request for an optical device having predetermined refractive-index characteristics. The method includes the step of taking a plurality of refractive index measurements at a plurality of interior locations within a boule. The plurality of refractive index measurements are converted into a three-dimensional map of the boule. The three-dimensional map includes a plurality of refractive index values distributed throughout the interior of the optical member. Information corresponding to the three-dimensional map is provided.

In another aspect, the present invention includes a method for making an optical device having predetermined refractive-index characteristics. The optical device is derived from a boule that is dimensionally characterized by a radial axis and an axis normal to the radial axis. The method includes placing the boule in a measurement tool. Index-matching fluid is disposed in an interface volume formed between the

boule and the measurement tool. The index-matching fluid has a predetermined refractive index substantially identical to the refractive index of the measurement tool. At least one set of refractive index measurements is taken of the boule by directing light into the boule via the measurement tool. The light is directed in a direction normal to a plane formed by the radial axis and the axis normal to the radial axis. The set of refractive index measurements is converted into a three-dimensional map of the boule. The three-dimensional map includes a plurality of calculated refractive index values distributed throughout the interior of the boule. An optical blank is extracted from the boule. The optical blank is taken from a portion of the boule having calculated refractive index values that substantially match the predetermined refractive-index characteristics.

Additional features and advantages of the invention will be set forth in the detailed description which follows, and in part will be readily apparent to those skilled in the art from that description or recognized by practicing the invention as described herein, including the detailed description which follows, the claims, as well as the appended drawings.

It is to be understood that both the foregoing general description and the following detailed description are merely exemplary of the invention, and are intended to provide an overview or framework for understanding the nature and character of the invention as it is claimed. The accompanying drawings are included to provide a further understanding of the invention, and are incorporated in and constitute a part of this specification. The drawings illustrate various embodiments of the invention, and together with the description serve to explain the principles and operation of the invention.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1 is a perspective view of a boule and a radial strip used in a method for characterizing an optical preform in accordance with a first embodiment of the present invention;

Figure 2 is a block diagram of an apparatus for characterizing an optical preform in accordance with the first embodiment of the present invention;

Figure 3 is a chart showing the measured z-axis profile versus the calculated z-axis profile of the radial strip depicted in Figure 1;

Figure 4 is a block diagram of showing the extraction of optical preforms from the boule shown in Figure 1;

Figure 5 is a block diagram of an apparatus for characterizing an optical preform in accordance with a second embodiment of the present invention; and

Figure 6 is a block diagram of an alternate apparatus for characterizing an optical preform in accordance with the second embodiment of the present invention.

#### DETAILED DESCRIPTION

Reference will now be made in detail to the present exemplary embodiments of the invention, examples of which are illustrated in the accompanying drawings. Wherever possible, the same reference numbers will be used throughout the drawings to refer to the same or like parts. An exemplary embodiment of the apparatus for performing the method for characterizing an optical preform is shown in Figure 2, and is designated generally throughout by reference numeral 10.

In accordance with the invention, the present invention for a method for characterizing an optical preform includes a computer-readable medium having a data structure stored thereon. The data structure includes data representing a characteristic of an optical member. The data structure includes at least one field containing information corresponding to a three-dimensional map of the optical member. The map includes a plurality of refractive index measurements taken at a plurality of interior locations within the optical member. Thus, the present invention provides a three-dimensional map of the refractive-index distribution of boule and/or an optical preform.

The three-dimensional map of the refractive-index distribution in accordance with the present invention allows device manufacturers to better predict the performance of the optical device. The three-dimensional map in accordance with the present invention also allows device manufacturers to determine the best orientation of the preform during device extraction. The three-dimensional index data is used to evaluate boule quality attributes as well as to evaluate the best locations to extract parts based on customer's specifications. This allows for pre-qualification of parts prior to extraction. This saves time, material, and hence, money.

As embodied herein, and depicted in Figure 1, a perspective view of boule 1 and radial strip 100 used in a method for characterizing an optical preform in accordance with a first embodiment of the present invention is disclosed. The first step in the method requires the extraction of radial strip 100 from boule 1. The extracted radial strip 100 has a thickness on the order of 50-60mm. Radial strip 100 has a measurement surface 102 co-planar with the cross-sectional area of strip 100. The cross-sectional area is defined by the radial axis (r-axis) and the z-axis, which is normal to the radial axis. Surface 102 is prepared for measurement by performing optically finishing surface 102, using methods such as grinding and polishing. Radial strip 100 is interferometrically measured in the w-axis direction, as shown in Figure 1. An array of pixel areas 104 are measured, each providing a refractive-index value. The spacing of the pixel areas depends on the density of the CCD camera in the interferometer (see Figure 2). In one embodiment, the spacing is approximately 0.6mm/pixel. This data is used to calculate a three-dimensional map of the refractive-index variation of the boule. One key aspect of the present invention is the realization that boule 1 is substantially rotationally symmetric. Thus, the refractive index values in the two dimensional map of surface 102 can be replicated at various radial locations defined by angle  $\theta_i$  to thereby convert the two dimensional map of surface 102 into a three-dimensional map of the entire boule.

Those of ordinary skill in the art will recognize that boule 1 may be of any suitable type of rotationally symmetric material, but there is shown by way of example a fused silica boule fabricated using a flame hydrolysis process. Thus, doped fused silica or calcium fluoride may also be used. Typically, fused silica boule 1 has a diameter of about 1.5 meters and a thickness in the range of 12 – 20 centimeters. In accordance with commercial practice, a plurality of optical blanks are cut from such boules. The optical blanks are used to make optical devices such as lenses and prisms. In one application, the lenses are used in the projection optical systems and the illumination optical systems of photolithographic systems. It will also be apparent to those of ordinary skill in the art that other materials may be used to fabricate boule 1.

As embodied herein, and depicted in Figure 2, a block diagram of an apparatus 10 for characterizing an optical preform in accordance with the first embodiment of the present invention is disclosed. Apparatus 10 includes phase measuring interferometer

20 coupled to computer 30. Computer 30 is coupled to network 40. Thus, three-dimensional mapping information may be transmitted via network 40 to any remote site 50, customer location 60, or to a data storage location, such as database 70.

Computer 30 may be of any suitable type, but there is shown by way example a personal computer including a Pentium processor. In another embodiment computer 30 is networked to a server via a LAN. Computer 30 includes electronic memory, a hard disk, a floppy disk drive 300, and compact disk device 302. Computer 30 is programmed to store three-dimensional mapping information in the electronic memory, onto the hard disk drive, or onto a floppy disk. The three dimensional mapping information may also be written to a compact disk in device 302. Obviously, a floppy disk or a compact disk having the mapping information stored thereon, may be delivered to a customer via courier, delivery service, or by some other means.

Those of ordinary skill in the art will recognize that network 40 may be of any suitable type, but there is shown by way of example the Internet. Those of ordinary skill in the art will also recognize that computer 30 may transmit three-dimensional mapping information over the public switched telephone network (PSTN) via a modem. Network 40 may also be implemented using a local area network (LAN), a personal area network (PAN), a wireless network, or a packet switched network.

One of ordinary skill in the art will recognize that the present invention may employ many different interferometer configurations that are currently commercially available, but by way of example, interferometer 20 includes a laser light source 200 which is optically coupled to beamsplitter 204. Beamsplitter 204 is optically coupled to radial strip 100, which is coupled to mounting mirror 208. As discussed above, radial strip 100 must be made transparent to laser 200. This is achieved by sandwiching radial strip 100 between polished flats (210, 212) while adding index matching liquid at each surface interface. If transparency is ensured by polishing radial strip 100, the polished homogeneity (PHOM) method or the Schwider method can also be employed as well. Beamsplitter 204 is also coupled to reference mirror 206 and detector 202. Detector 202 includes a CCD camera as explained above.

In operation, laser 200 directs light signal Ls toward beamsplitter 204. The light signal Ls is split into two beams which are directed toward reference mirror 206 and radial strip 100 mounted on mounting mirror 208. Ultimately, both beams are recombined and directed toward detector 202. The recombined light beam creates a fringe pattern which is captured by the CCD camera in detector 202. Both the optical path length and the thickness of radial strip 100 can be determined by evaluating multiple measurements with phase shift of the reference plane 206, by evaluating the number and location of reference fringes between certain interference patterns. After correction of interferometer and surface errors, the variation of the index of refraction for a given measurement is calculated using the formula  $\Delta n = (OPL/Th)$ , where OPL is the optical path length difference and Th is the thickness of radial strip 100 at the given measurement location.

Computer 30 is programmed to evaluate the reference fringes and perform the above stated calculation. Computer 30 stores the results for each measurement and creates a two-dimensional mapping of surface 102 (see Figure 1). As discussed above, one key aspect of the present invention is the realization that a fused silica boule is substantially rotationally symmetric. Thus, computer 30 is programmed to replicate the refractive index values populating the two dimensional map of surface 102 to create a quasi three-dimensional map. The performance of potential parts extracted from boule 1 using the generated three-dimensional map can then be predicted with greater accuracy. The generation of the quasi three-dimensional map is described more thoroughly below.

As embodied herein, and depicted in Figure 3, a chart showing the measured z-axis profile versus the calculated z-axis profile of radial strip 100 depicted in Figure 1 is disclosed. As illustrated in Figure 3, the measured z-axis index variation is almost identical (within measurement error) to z-axis variation calculated using the quasi-three-dimensional mapping data. The horizontal axis of the chart in Figure 4 is the normalized radius, obtained by dividing the radial location by the maximum boule radius. Three dimensional index variation of the boule is calculated using the following equations. First, a radial index value is calculated using the measured w-axis data according to the following equation:

$$n_1(r) = \int_x \int_y n_{wxy}(r, x, y) dx dy \quad (1)$$



where  $r$  is the boule radial coordinate,  $x$  and  $y$  are the locations of the w-axis index data (see Figure 1),  $n_z$  is the calculated z-axis index value, and  $n_w$  is the measured w-axis index value. The symmetric z-axis boule rectangular index map is then calculated using the equation:

$$n_z(r, \theta) = [(1 - \text{mod}(x^2 + y^2)^{0.5})] * n_{z1}(r) + [\text{mod}(x^2 + y^2)^{0.5}] * n_{z1}(r + 1) \quad (2)$$

where  $x = r \cos \theta$ , and  $y = r \sin \theta$ .  $x$  and  $y$  are the Cartesian coordinates of boule z-axis data, and  $r$  and  $\theta$  are the polar coordinates of boule z-axis data. The boule index map is generated using the w-axis index array of data. To evaluate sub-apertures of the boule for part selection and extraction, portions of the w-axis data are selected and the z-axis profile is generated by placing appropriate limits on the double integration in equation (1) above.

In another salient feature of the present invention, the process described above is further refined by measuring the refractive index of boule 1 in the plane  $(r, \theta)$ . A two-dimensional homogeneity map is created and compared with the calculated values obtained from the quasi three-dimensional map. Subsequently, the differences between the quasi three-dimensional map values in plane  $(r, \theta)$  and the measured values from the two-dimensional homogeneity map are calculated. The difference values form an inhomogeneity distribution that represents the departure from perfect rotational symmetry. The difference values are homogeneously distributed throughout the thickness of the boule to create a new and improved three-dimensional map of the boule. These additional steps are performed because the boule is not perfectly rotationally symmetric. The above described refinement steps can also be performed on the optical preform after it has been extracted.

As embodied herein, and depicted in Figure 4, a block diagram of showing the extraction of optical preform 400 from boule 1. As described above, the present invention provides a three-dimensional map of the refractive-index distribution of boule 1 and optical preform 400. Device manufacturer can predict the performance of the

optical device with increased certainty because the refractive index variations of the boule 1 and preform 400 are known. Furthermore, the three-dimensional map allows device manufacturers to determine the best orientation of preform (402, 404) during device extraction. When a lens is to be cut out in a meniscus, plano-convex, or plano-concave shape, knowledge of the three-dimensional refractive index variation enables the lens maker to orient the preform such that the preform portions having the highest inhomogeneity are cut away.

As embodied herein, and depicted in Figure 5 a block diagram of an apparatus for characterizing an optical preform in accordance with a second embodiment of the present invention is disclosed. This embodiment is used to produce a three-dimensional mapping of a master preform that is substantially the same size as the final optical preform. Also, many of the optical preforms used for certain lenses in photolithographic systems are extracted on-axis. Thus, in these circumstances it is not possible to extract a radial strip. Measurement apparatus 600 includes boule, or master preform 1, disposed in measurement tool 604. The interface volume between tool 604 and boule 1 is filled with an index matching fluid that matches the index of tool 604. As described above, the function of the tool and index matching fluid is to make boule 1 transparent to the interferometer laser light. In one embodiment of the present invention tool 604 is fabricated by creating a central bore in a plate of approximately the same size as the master preform. Measurements are taken along the z-axis by taking at least one set of measurements in a cross-sectional plane formed by the preform diameter and the z-axis. Preferably, multiple measurements are obtained by rotating the preform such that multiple cross-sectional planes are measured. The multiple sets of data are then averaged to obtain greater accuracy. There is less need to assume rotational symmetry in calculating the three-dimensional map values when a large number of measurement sets are obtained.

As embodied herein, and depicted in Figure 6, a block diagram of an alternate apparatus for characterizing an optical preform in accordance with the second embodiment of the present invention is disclosed. Apparatus 700 includes preform 1 immersed in tank 702. The laser light beams of the interferometer are directed through windows 704. Tank 702 is filled with index matching fluid 704. The refractive index of index matching fluid 706 is matched to the refractive index of windows 704 to provide the optical transparency described above.

Those of ordinary skill in the art will recognize that the above described processes can be used in the manufacture of fused silica preforms, fluorite crystals, and ingot processes employing other materials.

It will be apparent to those skilled in the art that various modifications and variations can be made to the present invention without departing from the spirit and scope of the invention. Thus, it is intended that the present invention cover the modifications and variations of this invention provided they come within the scope of the appended claims and their equivalents.